
APPENDIX VI-5

FORM HUD 11710-D - ISSUER'S MONTHLY SUMMARY REPORT

- Applicability:** Ginnie Mae I MBS Program and Ginnie Mae II MBS Program.
- Purpose:** To report to Ginnie Mae a summary of information on an Issuer's outstanding pools or loan packages and to provide a certification as to the accuracy of the information reported.
- Prepared by:** Issuer. The Issuer must follow the instructions set forth in the *GinnieNET* Issuer Guide. If the Issuer prints a copy of its monthly summary report for a given month, the report will be printed in this format.
- Prepared in:** The information on this form HUD 11710-D, and any correction to the information, must be reported in all cases via *GinnieNET*.
- Distribution:** For Ginnie Mae I pools and Ginnie Mae II pools and loan packages:

Under Ginnie Mae I and II, guaranty fees will be collected from each Issuer by use of an automated clearing house (ACH) debit. For Ginnie Mae I pools, Issuers are to report the amount of the guaranty fee in accordance with instructions contained in Chapter 6 of the Ginnie Mae MBS Guide.
- Due Date:** To be transmitted by *GinnieNET* no later than the fourth business day of the month following the reporting month.

Preparation Instructions

The form HUD 11710-D relating to the Issuer's Ginnie Mae I pools must include just the Ginnie Mae I pools. Similarly, the form HUD 11710-D for Ginnie Mae II pools and loan packages must relate only to Ginnie Mae II pools and loan packages. In the heading of the form enter the Issuer's name, address and zip code, then number of pools or loan packages being reported, the reporting cutoff date, the reporting month, the Issuer ID number and the Issuer RPB report ID number. Also, check the appropriate box to indicate whether the pools or loan packages reported are reported under the Ginnie Mae I program or the Ginnie Mae II program.

Section (1) – Installments Delinquent

Enter the total current number of loans in the Issuer's pools or loan packages, and the aggregated delinquency data for the Issuer's pools and loan packages for the reporting period. Enter in the box "Percent 2 or More Months Delin. Excluding Foreclosures" the percentage of loans currently in the pools or loan packages that are two or more months delinquent, but for which foreclosure has not been initiated, as of the end of the reporting month.

Section (2) - Total Escrow Funds

Enter the total amount of the entries as reported in the RFS Issuer Monthly Report of Pool and Loan Data

Section (3) - Total Funds Other Than Escrow

Enter the total amount of the entries in as reported in the RFS Issuer Monthly Report of Pool and Loan Data

Sections (4) through (10)

Enter in each Section named below the prescribed total or totals for all outstanding pools or loan packages

Section (4): Enter the total amount of the Guaranty Fee as reported by the Issuer for pools and loan packages as applicable for the reporting period.

Sections (5): Enter the total of mortgages as reported by the Issuer for the reporting period.

Section (6): Enter the total amount of the entries as reported in the RFS Pool Record Field 4 Pool FIC for the reporting period.

Section (7): Enter the total amount of pool/loan package Pool Principal as reported by the Issuer for the reporting period

Section (8): Enter the total amount of the entries as reported in the monthly RPB report and as reported in the RFS Pool Record Field 10 Security RPB for the reporting period

Section (9): Enter the total amount of the entries as reported by the Issuer for the reporting period.

Section (10): Enter the total amount of the entries as reported by the Issuer for the reporting period.

Date checks mailed: For Ginnie Mae I reports only, enter the last date on which checks were mailed to holders for the prior reporting month.

ACH Account: For Ginnie Mae II reports only, enter the bank name and address and the account number of the central P&I custodial account.

Signature: The report must be certified by an authorized officer of the Issuer whose name appears on form HUD 11702. The signature constitutes a certification of the truth and accuracy of the RFS Issuer Monthly Report of Pool and Loan Data.

All other information including telephone number must be provided.

Quarterly Certification

With reports due in March, June, September, and December, each Issuer must submit a certification, using *GinnieNET*, and must follow the instructions set forth in the *GinnieNET* Issuer Guide.

Issuer's Monthly Summary Report

U.S. Department of Housing and Urban Development
Government National Mortgage Association

OMB Approval No. 2503-0033 (Exp.10/31/2019)

Public reporting burden for this collection of information is estimated to average 8 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Ginnie Mae may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

The information is required by Sec. 306(g) of the National Housing Act or by Ginnie Mae Handbook 5500.3, Rev. 1. The purpose of this information is to report to Ginnie Mae a summary of information on an issuer's outstanding pools or loan packages, to provide a certification as to the accuracy of the information reported, and to provide a format for RPB reporting. The information collected will not be disclosed outside the Department except as required by law.

Issuer (Name, Address and Zip Code)		Submission Type <input type="checkbox"/> Original Report <input type="checkbox"/> Revised Report		This report is only for <input type="checkbox"/> Ginnie Mae I Pools <input type="checkbox"/> Ginnie Mae II Pools/Loan Pkgs	
Number of Pools and Loan Pkgs.	Date	Reporting Month	Issuer ID Number	Issuer RPB Report ID Number	

(1) Installments Delinquent Consolidated Summary of All Pools/Loan Packages							(2) Total Escrow Funds	
Total No. of Mortgages	Total No. Delinquent	Percent Delinquent	Installments Delinquent			Foreclosure	Percent 2 or More Months Delin. Excluding Foreclosures	(3) Total Funds Other Than Escrow
			One	Two	Three or More			

	Total Guaranty Fee (4)	(Report Balances this month end and Principal amount of securities this month end)				Due Holders	
		Total No. of Mortgages (5)	Total Fixed Installment Control (6)	Total Pool/Loan Pkg. Principal Balance (7)	Total Security Principal Balance (8)	Total Principal (9)	Total Interest (10)
Total							

Ginnie Mae I Issuers Only		Ginnie Mae II Issuers Only	
Date all checks mailed or funds electronically remitted to holders last month (Ginnie Mae I only)		ACH Bank (Name and Address)	Account Number

All Issuers

Authorized Signature	Printed Name
Title	Phone Number (include Area Code)

By signing above, I hereby certify that the information contained herein and submitted electronically in the RFS Issuer Monthly Report of Pool and Loan Data is true and accurate to the best of my knowledge and belief.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Previous editions are obsolete