

APPENDIX II-1

COMMITMENT AUTHORITY AND/OR POOL NUMBERS

- Applicability:** Ginnie Mae I MBS Program and Ginnie Mae II MBS Program.
- Purpose:** To transmit to Ginnie Mae a request for commitment authority to guarantee securities and/or to request pool numbers.
- Prepared by:** Issuer
- Prepared in:** Electronic form via the Commitment Management Application or Request Pool Number Application, as Applicable
- Completion Instructions:** To complete this form, login to GMEP, select the IPMS tab, then select either the Request Pool Number Application or the Commitment Management Application to submit your request. Additional instructions can be obtained by accessing the Commitment Management User Manual or Request Pool Number User manual, as applicable located in GMEP.

1. Select Issuer name or number from the drop down menu.
2. Enter the number of pool numbers being requested
3. Click submit to process request

PAPER FORMS ARE ONLY TO BE USED FOR CONTINUITY OF BUSINESS IN THE EVENT GINNIE MAE SYSTEMS ARE NOT AVAILABLE. YOU MUST RECEIVE PRIOR APPROVAL FROM YOUR GINNIE MAE ACCOUNT EXECUTIVE BEFORE A REQUEST CAN BE SUBMITTED IN PAPER FORM.

Completion instructions for paper submissions.

1. Indicate full legal name and address of the issuer and provide other information called for.
2. Check appropriate boxes if application is for commitment authority to guarantee securities.
3. If application is for commitment authority, enter total amount of commitment authority requested and the fee remitted. For a discussion of fees see Chapter 6 of the Ginnie Mae Mortgage-Backed Securities Guide.
4. Check appropriate box for type of commitment authority applied for.
5. Check appropriate box if application is for pool or loan package numbers.
6. Enter the total number of pool numbers requested for each program type. Request should be based on the number of pools or loan packages of each type expected to be issued within the next 120 days.
7. Enter the total number of unique Ginnie Mae loan identifiers requested for HECM loans. Request should be based on the number of HECM loans for which the first Participation will be pooled over the course of the next 120 days.
8. Signature of authorized officer.
9. Name and title of authorized officer signing and date of signing.

**Letter of Transmittal
for Commitment Authority
and/or Pool Numbers**

**U.S. Department of Housing
and Urban Development**
Government National Mortgage Association

OMB Approval No. 2503-0033 (Exp. 10/31/2019)

Public reporting burden for this collection of information is estimated to average 2 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

The information is required by Sec. 306(g) of the National Housing Act or by Ginnie Mae Handbook 5500.3, Rev. 1. The information collected is required to ensure that commitment authority and/or pool number requests are handled appropriately and in accordance with sound business practice. The information collected will not be disclosed outside the Department except as required by law.

Issuer's Name	Issuer ID Number (Approved Issuers Only)
Street Address	Date
City, State and Zip Code	Phone Number
	Fax Number

Government National Mortgage Association
Office of Issuer and Portfolio Management
550 12th Street, S.W., First Floor
Washington, D.C. 20024

This is a request for approval of the indicated activity and a transmittal of required materials.

1. Application for commitment authority to guarantee securities. It transmits the following:
- Form HUD-11704, "Commitment to Guarantee Mortgage-Backed Securities." (The dollar amount must be typed in the box available for the total amount and must match the total amount of Commitment Authority requested below.)
 - Commitment authority application fee must be submitted via an ACH payment from the bank account provided by the issuer. (\$500.00 for the first \$1.5 million and \$200.00 for each additional million or part of a million.)
 - The following information is submitted:

Total **amount** of commitment authority requested: \$ _____

Total **fee** submitted: \$ _____

Type of Commitment Authority requested:

Single Line Commitment

Multi-Line Commitment

2. Application for Pool Numbers. (Request only the number of pool and/or loan package numbers expected to be issued within the next 365 days. In the space provided below, enter the number of pool numbers needed.)

_____ Alphanumeric Pool Numbers

Authorized Signature	Typed Name
Title	Date

