



Streamlined Investor Reporting Phase II Training & Live Demo

June 23 & 28, 2016



@GinnieMaeGov



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Agenda

- Overview
- APM Guidance
- Prerequisite Requirements
- Monthly Reporting Certification
- Monthly Remittance Advice
- Q&A

Streamlined Investor Reporting Summary

Ginnie Mae continues efforts to streamline investor reporting for the reporting requirements listed below.

Current Reporting Process		Streamlined Reporting Process
Issuer submits 11710D Monthly Summary data and certification through GinnieNET	→	Issuer will certify pool and loan data in GMEP RFS Monthly Certification Screen (New)
Issuer submits a PDF of the 11710DH data and certification via email to Operations (as PDF)	→	Issuer will certify loan data in GMEP RFS Monthly Certification Screen (New)
Issuer submits 11714 or 11714SN Remittance Advice through GinnieNET for file import	→	Issuer may upload Remittance Advice through GMEP (new file upload button)
Issuer submits 11714 and 11714SN Remittance Advice online data entry in GinnieNET	→	Issuer may provide Remittance Advice Reporting through GMEP RFS (New Screens)

**Please note that any monthly reporting and Remittance Advice Data submitted previously through GinnieNET is available in GinnieNET for review, printing, and export.*

APM 16-04: Implementation of Streamlined Investor Reporting Phase II

HUD-11710D

Issuer's Monthly Summary Report

Issuers are required to certify as to the accuracy of the pool and loan level data in RFS. Issuers will no longer submit 11710D or certify as to the accuracy of the data fields on that form

Consequently Form HUD-11710D is no longer needed, but the form remains as an appendix to the Guide for reference purposes only

The Guide has been updated to remove inapplicable references to certifications in GinnieNET and Form HUD-11710D

HUD-11710DH

HMBS Issuer's Monthly Summary Report

New policy requires certification of the pool and loan data in RFS. Issuers will no longer to submit a .pdf of the Form HUD-11710DH via email

Consequently Appendix Form HUD-11710DH is no longer needed, but has been reserved for reference purposes only

Chapter 35 and Appendix VI-21 have been updated to remove inapplicable references to certification process using Form HUD-11710DH

HUD-11714/SN

New Policy requires Issuers to submit Remittance Advice data through RFS in lieu of GinnieNET

Appendices VI-10 and VI-11 have been updated to reflect layout required for RFS submission process

Chapter 15 requirements have been updated to reflect new streamlined reporting process

Prerequisite Requirements: GMEP ID and User Registration

11710D

Streamlined Reporting Credentials

Monthly Reporting Certification only in RFS

- *GMEP User ID*
- *RFS Pool Accounting User Role*
- *SecurID Token Holder*
- *Authorized 11702 "signer"*
- *Sub-servicer as 11702 "signer"*

11710DH

Monthly Reporting Certification only in RFS

- *GMEP User ID*
- *RFS HMBS User Role*
- *SecurID Token Holder*
- *Authorized 11702 "signer"*
- *Sub-servicer as 11702 "signer"*

11714

Monthly Reporting Remittance Advice

- *GMEP User ID*
- *Pool Accounting User Role*



Monthly Reporting Certification

(formerly 11710D and 11710DH)

Monthly Reporting Certification

Implementation: Beginning July 15, 2016, MBS Issuers must complete the Monthly Reporting Certification through the Ginnie Mae Enterprise Portal (GMEP).

Timeframe: Between the 10th and 14th business days of the month.

Monthly Reporting Certification: Summary Monthly Certification Screen

[Home](#) | [My Profile](#) | [RFS](#) | [File Upload](#) | [IPMS](#)
Exception Feedback

 1.0 [Home](#) | [Summary](#) | [Exceptions](#) | [Download](#) | [User Guide](#)
[Issuer Summary](#) | [Alert List](#) | [Monthly Certification](#)

GNMA-FOUNDATION FUNDING-3811

Issuer ID:

9221 ▼

Report Period:

08/2015 ▼

GO

Summary for Issuer ID 9221 - GNMA-FOUNDATION FUNDING-3811

Last File Submission Date 09/02/2015 09:46

Last Pool Summarization Date 09/24/2015 17:01

 Pool Exceptions 0 [Exception List](#)

 Loan Exceptions 0 [Exception List](#)

	Reported Loans	Expected Loans	Liquidated Loans	Delinquent Loans	Delinquent Loans Percentage	1 Month Delinquent	2 Months Delinquent	3+ Months Delinquent	Foreclosure	DQ2+
I	0	0	0	0	0.0000%	0	0	0	0	0.0000%
II	1	1	0	0	0.0000%	0	0	0	0	0.0000%
Total	1	1	0	0	0.0000%	0	0	0	0	0.0000%

	Reported Pools	Expected Pools	T & I Funds	Other Funds	Guaranty Fee	Fixed Installment Constant	Unpaid Pool Principal Balance	Security Remaining Principal Balance	Principal Due Holders	Interest Due Holders
I	0	0	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
II	1	1	\$335.34	\$-1,314.38	\$0.00	\$982.42	\$4,958.16	\$2,586.76	\$961.72	\$19.22
Total	1	1	\$335.34	\$-1,314.38	\$0.00	\$982.42	\$4,958.16	\$2,586.76	\$961.72	\$19.22

+

Reported

Monthly Certification

Monthly Certification

Monthly Reporting Certification: Submitting Credentials to Perform Certification

Home My Profile **RFS** File Upload IPMS

Exception Feedback

1.0

Home Summary Exceptions Download User Guide

Issuer Summary Alert List **Monthly Certification**

GNMA-FOUNDATION FUNDING-3811

Issuer ID:

9221 ▼

Report Period:

08/2015 ▼

Monthly Reporting Certification

Issuer ID: 9221 Reporting Period: 08/2015

I hereby certify that all of the information contained herein and submitted electronically for Issuer Monthly Report of Pool and Loan Data is true and accurate to the best of my knowledge and belief. I understand that if I knowingly have made any false, fictitious, or fraudulent statement, representation, or certification on this form or on any accompanying documents, I may be subject to civil and criminal penalties, including fines and/or imprisonment, under applicable federal law, including but not limited to 18 U.S.C. §§1001, 1010, and 1012, and 31 U.S.C. §§3729 and 3802.



1

First Name

Khari

Last Name

Grant

Title

GTR

Organization ID

00006112

Pin and SecurID Token

2

Save

3

Monthly Reporting Certification: Certification Complete

Home My Profile **RFS** File Upload IPMS

Exception Feedback

1.0

[Home](#) [Summary](#) [Exceptions](#) [Download](#) [User Guide](#)

[Issuer Summary](#) [Alert List](#) [Monthly Certification](#)

GNMA-FOUNDATION FUNDING-3811

Issuer ID:

9221 ▼

Report Period:

08/2015 ▼

Monthly Reporting Certification

Issuer ID: 9221 Reporting Period: 08/2015

☒ I hereby certify that all of the information contained herein and submitted electronically for Issuer Monthly Report of Pool and Loan Data is true and accurate to the best of my knowledge and belief. I understand that if I knowingly have made any false, fictitious, or fraudulent statement, representation, or certification on this form or on any accompanying documents, I may be subject to civil and criminal penalties, including fines and/or imprisonment, under applicable federal law, including but not limited to 18 U.S.C. §§1001, 1010, and 1012, and 31 U.S.C. §§3729 and 3802.

First Name

Last Name

Title

Organization ID

User Id

Date and Timestamp

Khari

Grant

GTR

00006112

J. BWENKER2

06/20/2016 13:19

Remove

Monthly Reporting Certification: Recertification: 1) Removing a Certification

[Home](#) [My Profile](#) [RFS](#) [File Upload](#) [IPMS](#)**Exception Feedback**

1.0

[Home](#) [Summary](#) [Exceptions](#) [Download](#) [User Guide](#)[Issuer Summary](#) [Alert List](#) [Monthly Certification](#)

GNMA-FOUNDATION FUNDING-3811

Issuer ID:

9221 ▼

Report Period:

08/2015 ▼

Monthly Reporting Certification

Issuer ID: 9221 Reporting Period: 08/2015

☒ I hereby certify that all of the information contained herein and submitted electronically for Issuer Monthly Report of Pool and Loan Data is true and accurate to the best of my knowledge and belief. I understand that if I knowingly have made any false, fictitious, or fraudulent statement, representation, or certification on this form or on any accompanying documents, I may be subject to civil and criminal penalties, including fines and/or imprisonment, under applicable federal law, including but not limited to 18 U.S.C. §§1001, 1010, and 1012, and 31 U.S.C. §§3729 and 3802.

First Name	Last Name	Title	Organization ID	User Id	Date and Timestamp
Khari	Grant	GTR	00006112	I_BWENKER2	06/20/2016 13:19

[Remove](#)

Monthly Reporting Certification: Recertification: 2) Saving a New Certification

Home My Profile **RFS** File Upload IPMS

Exception Feedback

1.0

Home Summary Exceptions Download User Guide

Issuer Summary Alert List **Monthly Certification**

GNMA-FOUNDATION FUNDING-3811

Issuer ID:

9221 ▼

Report Period:

08/2015 ▼

Monthly Reporting Certification

Issuer ID: 9221 Reporting Period: 08/2015

I hereby certify that all of the information contained herein and submitted electronically for Issuer Monthly Report of Pool and Loan Data is true and accurate to the best of my knowledge and belief. I understand that if I knowingly have made any false, fictitious, or fraudulent statement, representation, or certification on this form or on any accompanying documents, I may be subject to civil and criminal penalties, including fines and/or imprisonment, under applicable federal law, including but not limited to 18 U.S.C. §§1001, 1010, and 1012, and 31 U.S.C. §§3729 and 3802.



First Name

Khari

Last Name

Grant

Title

GTR

Organization ID

00006112

Pin and SecurID Token

2

3

Save




Monthly Remittance Advice
File Upload Method
(11714 and 11714SN)

Monthly Remittance Advice

Implementation: 11714 and 11714SN monthly Remittance Advice data must be submitted via Ginnie Mae Enterprise Portal (GMEP) Reporting and Feedback System (RFS) beginning with Remittance Advices due July 13, 2016. Data may be submitted electronically via file upload in accordance with Appendix VI-10 (11714) or Appendix VI-11 (11714SN), as applicable, or data may be entered online.

Timeframe: Remittance Advices are due the 8th business day of each month.

Monthly Remittance Advice (File Upload): File Upload Page

 **Enterprise Portal**

Home My Profile RFS **File Upload**

File Upload

Select File Type:

- ☐ Custodial Account Verification
- ☐ Federal Home Loan Supplemental
- ☐ IPA Files
- ☐ Matching and Suspense file
- ☐ MF Prepayment Penalty
- ☐ PFI-CAA
- ☐ Pool Accounting Files
- ☒ Remittance Advice
- ☐ Service Members Civil Relief Act Files
- ☐ WHFIT Files

1

C:\Users\Rob Kaplan\Desktop\TO 3300\11714 and 11714SN\UAT Test Files and Results\RMT2015\ Browse... Upload

2 3

Monthly Remittance Advice (File Upload): File Upload Message

Home My
Profile RFS **File Upload**

File Upload

File Uploaded Successfully

Select File Type:

- ☐ Custodial Account Verification
- ☐ Federal Home Loan Supplemental
- ☐ IPA Files
- ☐ Matching and Suspense file
- ☐ MF Prepayment Penalty
- ☐ PFI-CAA
- ☐ Pool Accounting Files
- ☐ Remittance Advice
- ☐ Service Members Civil Relief Act Files
- ☐ WHFIT Files



Monthly Remittance Advice
RFS Direct Online Data Entry
(11714 and 11714SN)

Monthly Remittance Advice (Direct Entry): Direct Online Data Entry

SUNTRUST MORTGAGE, INC.

Issuer ID

1864

Pool ID

197936

Certificate Number

7601000 x

Report Period

08/2015

GO

Instructions for Remittance Advice Reporting

This screen is the starting point for reporting of 11714 - Monthly Remittance Advice and 11714SN - Monthly Serial Note Remittance Advice data. In the current period Users can view, update, create, and delete Monthly Remittance Advice records. All other periods are view only. Issuers may also report their 11714 - Monthly Remittance Advice and 11714SN - Monthly Serial Note Remittance Advice data via the Ginnie Mae Enterprise Portal file upload, as described in Appendix VI-10 and Appendix VI-11. The Monthly Remittance Download report provides a list of the records entered and a summary of the total number of records and total dollar amounts.

To view, enter, or edit Remittance Advice records online:

1. Select the Issuer ID
2. Enter the Pool ID
3. Enter the Certificate Number
4. Select a Reporting Period
5. Click GO

The next screen provides data if a valid Pool ID and Certificate Number was entered. **NOTE: If a prior Report Period was selected, the records are read only.**

If the current Report Period was selected, the User can view, update, create a new record, and delete Remittance Advice records.

1. If a record already exists in the current period that record is displayed and can be viewed, updated and saved, or deleted.
2. If no record was found in the current period, the system will display the prior record if available. Note: Items A through G will be blank.
3. Review the data provided and edit, as applicable. Be sure to enter the current Pay Date and Create Date.
4. Complete items A through E and Item G. Item F is read only and will be calculated based on Items A through E.
5. Click Save.
6. The User ID, and Date and Time Stamp are system generated.

If additional instruction is needed, see the "User Guide" located on the top menu bar on this page.

Monthly Remittance Advice (Direct Entry): Data Entry Screen

[Home](#) [My Profile](#) [RFS](#) [File Upload](#)

Pool Accounting - Single Family

1.0

[Home](#) [Pool](#) [Loan](#) [Quarterly Verification](#) [Remittance Advice](#) [User Guide](#)

HUD 11714/SN Monthly Remittance Download

SUNTRUST MORTGAGE, INC.

Issuer ID

1864

Pool ID

197936

Certificate Number

7601000

Report Period

08/2015 [GO](#)

Remittance Advice

Issuer ID: 1864

Pool ID: 197936

Issue Type: X

Pool Type: SF

Certificate Number: 7601000

Reporting Period: 08/2015

Issuer Name Security Holder Name Pay Date (mm/dd/yyyy) Security Interest Rate Pro Rata Share Percentage Create Date (mm/dd/yyyy)

A. Cash distribution due Security Holder for scheduled principal

\$

B. Cash distribution due Security Holder for interest

\$

C. Cash distribution of additional principal collections

\$

D. Adjustments (+ or -) (Explain Below)

\$

E. Less Federal income tax withheld from interest

\$

F. Total cash distribution due Security Holder

\$

G. Outstanding principal balance of this certificate after credit of the above distribution

\$

Explanation for Items above

User ID Date and Timestamp [Save](#)

Monthly Remittance Advice (Direct Entry): Submission Complete

Home My Profile **RFS** File Upload

Pool Accounting - Single Family

1.0 [Home](#) [Pool](#) [Loan](#) [Quarterly Verification](#) **Remittance Advice** [User Guide](#)

HUD 11714/SN Monthly Remittance Download

SUNTRUST MORTGAGE, INC.

Issuer ID
1864

Pool ID
197936

Certificate Number
30228816

Report Period
08/2015

Remittance Advice

Issuer ID: 1864

Pool ID: 197936

Issue Type: X

Pool Type: SF

Certificate Number: 30228816

Reporting Period: 08/2015

Issuer Name

Security Holder Name

Pay Date (mm/dd/yyyy)

Security Interest Rate

Pro Rate Share Percentage

Create Date (mm/dd/yyyy)

A. Cash distribution due Security Holder for scheduled principal

B. Cash distribution due Security Holder for interest

C. Cash distribution of additional principal collections

D. Adjustments (+ or -) (Explain Below)

E. Less Federal income tax withheld from interest

F. Total cash distribution due Security Holder

G. Outstanding principal balance of this certificate after credit of the above distribution

Explanation for items above

User ID

Date and Timestamp

Question & Answer

Resources

- **Prerequisite Requirements**
 - [Assigning User Roles in GinnieNET Issuer QRC \(IS-1\)](#)
 - [Obtaining & Activating SecurID Token Issuer QRC \(IS-2\)](#)
 - [Additional QRCs](#)
- **Policy Updates**
 - [APM 16-04](#): Implementation of Streamlined Investor Reporting Phase II (Published 6/7/16)
 - [MBS Guide](#): Chapter 4 - Issuers and Subcontract Servicers - Responsibilities
 - MBS Guide: Chapter 15 - Payments to Security Holders
 - MBS Guide: Chapter 35 - Home Equity Conversion Mortgage Loan Pools
- **New Remittance Advice File Layouts**
 - [Appendix VI-10](#) (Attached to APM 16-04)
 - [Appendix VI-11](#) (Attached to APM 16-04)
- **Support**
 - Phone: 1-888-446-6434: Request assistance from Issuer Support Group



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